

## PATENT COOPERATION TREATY

PCT

NOTIFICATION OF THE RECORDING  
OF A CHANGE(PCT Rule 92bis.1 and  
Administrative Instructions, Section 422)

From the INTERNATIONAL BUREAU

To:

Weickmann &amp; Weickmann

E 20 OKT. 2004

Frist:  
PatentanwälteWEICKMANN, Franz, Albert  
Weickmann & Weickmann  
Postfach 860 820  
81635 München  
Germany

Date of mailing (day/month/year) 07 October 2004 (07.10.2004)	IMPORTANT NOTIFICATION
Applicant's or agent's file reference 31098P WO	
International application No. PCT/EP2004/003239	International filing date (day/month/year) 26 March 2004 (26.03.2004)

1. The following indications appeared on record concerning:		
<input checked="" type="checkbox"/> the applicant	<input type="checkbox"/> the inventor	<input type="checkbox"/> the agent
<input type="checkbox"/> the common representative		
Name and Address	State of Nationality	State of Residence
	Telephone No.	
	Facsimile No.	
	Teleprinter No.	
2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:		
<input checked="" type="checkbox"/> the person	<input type="checkbox"/> the name	<input type="checkbox"/> the address
<input type="checkbox"/> the nationality		
<input type="checkbox"/> the residence		
Name and Address	State of Nationality	State of Residence
	DE	DE
	Telephone No.	
	Facsimile No.	
3. Further observations, if necessary: <b>The person in Box 2 has been recorded as additional applicant for all designated states except US.</b>		
4. A copy of this notification has been sent to:		
<input checked="" type="checkbox"/> the receiving Office	<input checked="" type="checkbox"/> the designated Offices concerned	
<input checked="" type="checkbox"/> the International Searching Authority	<input type="checkbox"/> the elected Offices concerned	
<input type="checkbox"/> the International Preliminary Examining Authority	<input type="checkbox"/> other:	

<b>The International Bureau of WIPO</b> 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No. (41-22) 338.89.70	Authorized officer Elisabeth KÖNIG (Fax 338 8970) Telephone No. (41-22) 338 8748
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Patentanwälte  
European Patent Attorneys · European Trademark and Design Attorneys

WEICKMANN & WEICKMANN, Postfach 860 820, 81635 München

**Beforehand by telecopy!**

Organisation Mondiale de la  
Propriété Intellectuelle  
Case Postale 18

1211 Genève 20  
SCHWEIZ

cc.: EPA

DIPL.-ING. H. WEICKMANN (bis 2001)  
DIPL.-ING. F. A. WEICKMANN  
DIPL.-CHEM. B. HUBER  
DR.-ING. H. LISK  
DIPL.-PHYS. DR. J. PRECHTEL  
DIPL.-CHEM. DR. B. BÖHM  
DIPL.-CHEM. DR. W. WEISS  
DIPL.-PHYS. DR. J. TIESMEYER  
DIPL.-PHYS. DR. M. HERZOG  
DIPL.-PHYS. B. RUTTENSBERGER  
DIPL.-PHYS. DR.-ING. V. JORDAN  
DIPL.-CHEM. DR. M. DEY  
DIPL.-FORSTW. DR. J. LACHNIT  
LL.M. DR. U. W. HERBERTH  
DR.-ING. H.-J. TROSSIN  
\* Rechtsanwalt

IHR ZEICHEN / YOUR REF.

UNSER ZEICHEN / OUR REF.  
31098P WO/WWab

DATUM / DATE  
Sep 14, 2005

Application/Patent No:

**PCT/EP 2004/003239**

Applicant/Proprietor:

**Apogenix Biotechnology AG**

**Deutsches Krebsforschungszentrum**

Request for recordal of change under PCT-  
Rule 92<sup>bis</sup>:

The recordal of change from the former applicant Apogenix Biotechnology AG to Apogenix GmbH is herewith requested. The address of the new applicant is the same as the address of the former applicant.

Please send us a confirmation about the recordation of this change as soon as possible, because the 30 month time limit ends on September 26, 2005.

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81635 München  
Kopernikusstraße 9  
81679 München  
Deutschland

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(0700) WEICKMAN  
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Vat-ID. Nr. DE 130 753 315

Hypovereinsbank München  
Konto 208 401 (BLZ 700 202 70)  
S.W.I.F.T.-Adresse HYVE DE MM  
Postbank München  
Konto 77 46-804 (BLZ 700 100 80)  
S.W.I.F.T.-Adresse PBNKDEFF 700

26. März 2004

PCT

## REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

For receiving Office use only

PCT/EP2004/003239	
International Application No.	
(26.03.04)	26 MAR 2004
International Filing Date	
EUROPEAN PATENT OFFICE PCT INTERNATIONAL APPLICATION	
Name of receiving Office and "PCT International Application"	
Applicant's or agent's file reference (if desired) (12 characters maximum) 31098P WO	

<b>Box No. I TITLE OF INVENTION</b>	
Improved Fc Fusion Proteins	
<b>Box No. II APPLICANT</b> <input type="checkbox"/> This person is also inventor	
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)	
Apogenix Biotechnology AG Im Neuenheimer Feld 581 69120 Heidelberg DE	
Telephone No.	
Facsimile No.	
Teleprinter No.	
Applicant's registration No. with the Office	
State (that is, country) of nationality: DE	State (that is, country) of residence: DE
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input checked="" type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box	
<b>Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)</b>	
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)	
WALCZAK Henning Keplerstr. 17 69120 Heidelberg DE	
This person is: <input type="checkbox"/> applicant only <input checked="" type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only (If this check-box is marked, do not fill in below.)	
Applicant's registration No. with the Office	
State (that is, country) of nationality: RU	State (that is, country) of residence: DE
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input checked="" type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box	
<input type="checkbox"/> Further applicants and/or (further) inventors are indicated on a continuation sheet.	
<b>Box No. IV AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE</b>	
The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as: <input checked="" type="checkbox"/> agent <input type="checkbox"/> common representative	
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)	
WEICKMANN & WEICKMANN Postfach 860 820 81635 München DE	
Telephone No. 089/455 63-0	
Facsimile No. 089/455 63-999	
Teleprinter No.	
Agent's registration No. with the Office	
<input type="checkbox"/> Address for correspondence: Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.	

Supplemental Box

*If the Supplemental Box is not used, this sheet should not be included in the request.***"ADDITIONAL REPRESENTATIVES"**

Names

Dipl.-Ing.	Franz Albert Weickmann
Dipl.-Chem.	Bernhard Huber
Dr.-Ing.	Horst Liska
Dipl.-Phys. Dr.	Jörg Prechtel
Dipl.-Chem. Dr.	Brigitte Böhm
Dipl.-Chem. Dr.	Wolfgang Weiß
Dipl.-Phys. Dr.	Johannes Tiesmeyer
Dipl.-Phys. Dr.	Markus Herzog
Dipl.-Phys.	Bernhard Ruttensperger
Dipl.-Phys. Dr.-Ing.	Volker Jordan
Dipl.-Chem. Dr.	Michael Dey

<b>Box No. V DESIGNATIONS</b>							
<p>The filing of this request constitutes under Rule 4.9(a), the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents.</p> <p>However,</p> <p><input type="checkbox"/> DE Germany is not designated for any kind of national protection</p> <p><input type="checkbox"/> KR Republic of Korea is not designated for any kind of national protection</p> <p><input type="checkbox"/> RU Russian Federation is not designated for any kind of national protection</p> <p><i>(The check-boxes above may be used to exclude (irrevocably) the designations concerned in order to avoid the ceasing of the effect, under the national law, of an earlier national application from which priority is claimed. See the Notes to Box No. V as to the consequences of such national law provisions in these and certain other States.)</i></p>							
<b>Box No. VI PRIORITY CLAIM</b>							
The priority of the following earlier application(s) is hereby claimed:							
Filing date of earlier application (day/month/year)	Number of earlier application	Where earlier application is:					
		national application: country or Member of WTO	regional application:* regional Office	international application: receiving Office			
item (1) 26.03.2003 March 26, 2003	03 006 949.6		EP				
item (2)							
item (3)							
<input type="checkbox"/> Further priority claims are indicated in the Supplemental Box.							
<p>The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) (only if the earlier application was filed with the Office which for the purposes of this international application is the receiving Office) identified above as:</p> <p><input checked="" type="checkbox"/> all items    <input type="checkbox"/> item (1)    <input type="checkbox"/> item (2)    <input type="checkbox"/> item (3)    <input type="checkbox"/> other, see Supplemental Box</p> <p><i>* Where the earlier application is an ARIPO application, indicate at least one country party to the Paris Convention for the Protection of Industrial Property or one Member of the World Trade Organization for which that earlier application was filed (Rule 4.10(b)(ii)): . . . .</i></p> <p>.....</p>							
<b>Box No. VII INTERNATIONAL SEARCHING AUTHORITY</b>							
<p><b>Choice of International Searching Authority (ISA)</b> (if two or more International Searching Authorities are competent to carry out the international search, indicate the Authority chosen; the two-letter code may be used):</p> <p>ISA / .....</p> <p><b>Request to use results of earlier search; reference to that search</b> (if an earlier search has been carried out by or requested from the International Searching Authority):</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 40%;">Date (day/month/year)</td> <td style="width: 20%;">Number</td> <td style="width: 40%;">Country (or regional Office)</td> </tr> </table>					Date (day/month/year)	Number	Country (or regional Office)
Date (day/month/year)	Number	Country (or regional Office)					
<b>Box No. VIII DECLARATIONS</b>							
<p>The following declarations are contained in Boxes Nos. VIII (i) to (v) (mark the applicable check-boxes below and indicate in the right column the number of each type of declaration):</p>				Number of declarations			
<input type="checkbox"/> Box No. VIII (i)	Declaration as to the identity of the inventor			:			
<input type="checkbox"/> Box No. VIII (ii)	Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent			:			
<input type="checkbox"/> Box No. VIII (iii)	Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application			:			
<input type="checkbox"/> Box No. VIII (iv)	Declaration of inventorship (only for the purposes of the designation of the United States of America)			:			
<input type="checkbox"/> Box No. VIII (v)	Declaration as to non-prejudicial disclosures or exceptions to lack of novelty			:			

Box No. IX CHECK LIST; LANGUAGE OF FILING																																					
<p>This international application contains:</p> <p>(a) <b>in paper form</b>, the following number of sheets:</p> <p>request (including declaration sheets) : 4</p> <p>description (excluding sequence listing and/or tables related thereto) : 18</p> <p>claims : 6</p> <p>abstract : 1</p> <p>drawings : 19</p> <p><b>Sub-total number of sheets</b> : 48</p> <p>sequence listing : </p> <p>tables related thereto : </p> <p><i>(for both, actual number of sheets if filed in paper form, whether or not also filed in computer readable form; see (c) below)</i></p> <p><b>Total number of sheets</b> : 48</p> <p>(b) <input type="checkbox"/> <b>only in computer readable form</b> (Section 801(a)(i))</p> <p>(i) <input type="checkbox"/> sequence listing</p> <p>(ii) <input type="checkbox"/> tables related thereto</p> <p>(c) <input type="checkbox"/> <b>also in computer readable form</b> (Section 801(a)(ii))</p> <p>(i) <input type="checkbox"/> sequence listing</p> <p>(ii) <input type="checkbox"/> tables related thereto</p> <p><b>Type and number of carriers</b> (diskette, CD-ROM, CD-R or other) on which are contained the</p> <p><input type="checkbox"/> sequence listing: . . . . .</p> <p><input type="checkbox"/> tables related thereto: . . . . .</p> <p><i>(additional copies to be indicated under items 9(ii) and/or 10(ii), in right column)</i></p>	<p>This international application is accompanied by the following item(s) (mark the applicable check-boxes below and indicate in right column the number of each item):</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 80%;"></th> <th style="width: 20%; text-align: right;">Number of items</th> </tr> </thead> <tbody> <tr> <td>1. <input checked="" type="checkbox"/> fee calculation sheet</td> <td style="text-align: right;">1</td> </tr> <tr> <td>2. <input type="checkbox"/> original separate power of attorney</td> <td style="text-align: right;">:</td> </tr> <tr> <td>3. <input type="checkbox"/> original general power of attorney</td> <td style="text-align: right;">:</td> </tr> <tr> <td>4. <input type="checkbox"/> copy of general power of attorney; reference number, if any: . . . . .</td> <td style="text-align: right;">:</td> </tr> <tr> <td>5. <input type="checkbox"/> statement explaining lack of signature</td> <td style="text-align: right;">:</td> </tr> <tr> <td>6. <input type="checkbox"/> priority document(s) identified in Box No. VI as item(s): . . . . .</td> <td style="text-align: right;">:</td> </tr> <tr> <td>7. <input type="checkbox"/> translation of international application into (language): . . . . .</td> <td style="text-align: right;">:</td> </tr> <tr> <td>8. <input type="checkbox"/> separate indications concerning deposited microorganism or other biological material</td> <td style="text-align: right;">:</td> </tr> <tr> <td>9. <input type="checkbox"/> sequence listing in computer readable form (indicate type and number of carriers)</td> <td style="text-align: right;">:</td> </tr> <tr> <td>    (i) <input type="checkbox"/> copy submitted for the purposes of international search under Rule 13ter only (and not as part of the international application) :</td> <td style="text-align: right;">:</td> </tr> <tr> <td>    (ii) <input type="checkbox"/> (only where check-box (b)(i) or (c)(i) is marked in left column) additional copies including, where applicable, the copy for the purposes of international search under Rule 13ter</td> <td style="text-align: right;">:</td> </tr> <tr> <td>    (iii) <input type="checkbox"/> together with relevant statement as to the identity of the copy or copies with the sequence listing mentioned in left column</td> <td style="text-align: right;">:</td> </tr> <tr> <td>10. <input type="checkbox"/> tables in computer readable form related to sequence listing (indicate type and number of carriers)</td> <td style="text-align: right;">:</td> </tr> <tr> <td>    (i) <input type="checkbox"/> copy submitted for the purposes of international search under Section 802(b-quater) only (and not as part of the international application)</td> <td style="text-align: right;">:</td> </tr> <tr> <td>    (ii) <input type="checkbox"/> (only where check-box (b)(ii) or (c)(ii) is marked in left column) additional copies including, where applicable, the copy for the purposes of international search under Section 802(b-quater)</td> <td style="text-align: right;">:</td> </tr> <tr> <td>    (iii) <input type="checkbox"/> together with relevant statement as to the identity of the copy or copies with the tables mentioned in left column</td> <td style="text-align: right;">:</td> </tr> <tr> <td>11. <input type="checkbox"/> other (specify): . . . . .</td> <td style="text-align: right;">:</td> </tr> </tbody> </table>		Number of items	1. <input checked="" type="checkbox"/> fee calculation sheet	1	2. <input type="checkbox"/> original separate power of attorney	:	3. <input type="checkbox"/> original general power of attorney	:	4. <input type="checkbox"/> copy of general power of attorney; 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Figure of the drawings which should accompany the abstract:	Language of filing of the international application: english																																				
<b>Box No. X SIGNATURE OF APPLICANT, AGENT OR COMMON REPRESENTATIVE</b> <i>Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request).</i>																																					
<div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="text-align: center;"> <p>Dr. W. Weiß</p> </div> <div style="text-align: right;"> <p>26. März 2004</p> </div> </div>																																					

For receiving Office use only	
1. Date of actual receipt of the purported international application:	26 MAR 2004 (26. 03. 04)
3. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application:	2. Drawings: <input checked="" type="checkbox"/> received:  <input type="checkbox"/> not received:
4. Date of timely receipt of the required corrections under PCT Article 11(2):	
5. International Searching Authority (if two or more are competent): ISA /	6. <input type="checkbox"/> Transmittal of search copy delayed until search fee is paid

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Date of receipt of the record copy by the International Bureau: